



18384 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	17682A-007410US
First Inventor	Dempcy, Robert O.
Title	PROCESS FOR THE SYNTHESIS OF PYRAZOLOPYRIMIDINES
Express Mail Label No.	EV 330854788 US

APPLICATION ELEMENTS		ADDRESS TO	
See MPEP chapter 600 concerning utility patent application contents.			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>		Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i>			
3. <input checked="" type="checkbox"/> Specification [Total Pages 34] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (<i>if filed</i>)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program ( <i>Appendix</i> )	
4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 1]		8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>	
5. Oath or Declaration [Total Pages 1] <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))  <i>(for a continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>            Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul>		a. <input type="checkbox"/> Computer Readable Form (CRF)	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		b. Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper number of pages</li> </ul>	
		c. <input type="checkbox"/> Statements verifying identity of above copies	
ACCOMPANYING APPLICATIONS PARTS			
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))			
10. <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney ( <i>when there is an assignee</i> )			
11. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )			
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations			
13. <input type="checkbox"/> Preliminary Amendment			
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>			
15. <input type="checkbox"/> Certified Copy of Priority Document(s) ( <i>if foreign priority is claimed</i> )			
16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent			
17. <input checked="" type="checkbox"/> Other: Documents filed in patent application Serial No. 09/954,624 to add an inventor: <ul style="list-style-type: none"> <li>• Communication</li> <li>• Statement of Added Inventor</li> <li>• Declaration</li> <li>• Consent of Assignee to Addition of Inventor and Certification of Title</li> <li>• Recorded Assignment</li> </ul>			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)

of prior application No: 09/954,624

Prior application information: Examiner Michael C. Henry

Art Unit: 1623

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number		20350		OR <input type="checkbox"/> Correspondence address below	
Name					
Address					
City		State		Zip Code	
Country		Telephone		Fax	
Name (Print/Type)	Joel G. Ackerman		Registration No. (Attorney/Agent)	24,307	
Signature			Date	April 1, 2004	

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1270)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Dempcy, Robert O.
Examiner Name	
Art Unit	
Attorney Docket No.	17682A-007410US

## METHOD OF PAYMENT (check all that apply)

Check     Credit Card     Money Order     Other     None  
 Deposit Account:

Deposit Account Number

20-1430

Deposit Account Name

Townsend and Townsend and Crew LLP

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below     Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity    Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	770
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$770)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	43	-20** =	23 X\$18	\$414
Independent Claims	4	-3** =	1 X\$86	\$86
Multiple Dependent		X		

Large Entity    Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$500)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

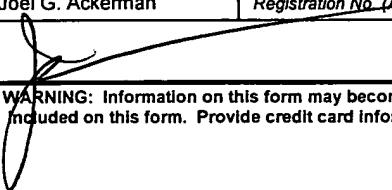
## 3. ADDITIONAL FEES

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,330	2453	665	Petition to revive – unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid    SUBTOTAL (3) (\$)

Complete (if applicable)

Name (Print/Type)	Joel G. Ackerman	Registration No. (Attorney/Agent)	24,307	Telephone	415-576-0200
Signature				Date	April 1, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand delivered to  
the Commissioner for Patents, Patent and Trademark Office,  
Alexandria, VA 22313-1450, on March 30, 2004

By: Robert Cunningham  
Name:

PATENT  
Docket No.: 17682A-007400US  
Client Ref. No.: 154



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert O. Dempcy et al.

Patent No.:

Issued:

Application No.: 09/954,624

Filed: September 12, 2001

For: PROCESS FOR THE SYNTHESIS  
OF PYRAZOLOPYRIMIDINES

Examiner: Michael C. Henry

Art Unit: 1623

COMMUNICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Petition and fee authorization are hereby made for the correction of inventorship in the above patent application by the addition of YEVGENIY S. BELOUSOV. This patent application is not involved in an interference. As evidenced by the attachments hereto, the error in omitting YEVGENIY S. BELOUSOV as an inventor was made without deceptive intention, and the assignee has agreed to the correction of inventorship to add YEVGENIY S. BELOUSOV.

Accompanying this Petition and Fee Authorization are the following:

1. A Declaration by the actual inventors, pursuant to 37 CFR §1.63

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PATENT

2. A STATEMENT OF INVENTOR TO BE ADDED, pursuant to 37 CFR 1.48(a)(1).

3. A CONSENT OF ASSIGNEE TO ADDITION OF INVENTOR AND CERTIFICATION OF TITLE, pursuant to 37 CFR 1.48(a)(4) and 37 CFR 3.73(b). An assignment by inventor Yevgeniy S. Belousov is being submitted to the United States Patent and Trademark Office for recordation contemporaneously with this petition. A copy (not for recordation) is enclosed with this petition.

FEE AUTHORIZATION

Authorization is hereby given to charge the fee of \$130.00 to Deposit Account No. 20-1430 of the undersigned, as the fee for this petition. Authorization is further given to charge to the aforementioned account any additional fees that may be needed but were overlooked by the undersigned, or to credit to the aforementioned account any overpayment.

Respectfully submitted,

Joel G. Ackerman  
Reg. No. 24,307

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